

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,400	05/07/2001	Michael L. Reo	020

CONFIRMATION NO. 1900

## FORMALITIES LETTER



\*OC000000006258055\*

 HOEKENDIJK & LYNCH, LLP  
 P.O. Box 4787  
 Burlingame, CA 94011-4878

Date Mailed: 07/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$440.
  - \$360 for 20 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1280.

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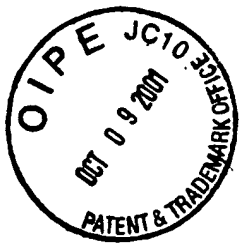
*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 MBELETE1 00000069 09851400

01 FC:201	370.00 OP
02 FC:202	42.00 OP
03 FC:203	180.00 OP
04 FC:205	65.00 OP



PATENT  
Attorney Docket No. 020

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on October 3, 2001.

By:   
Lem M. Cummings

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

MICHAEL L. REO, *et al.* )

Examiner: Not yet assigned

Application No.: 09/851,400 )

Art Unit: 3731

Filed: May 7, 2001 )

TRANSMITTAL LETTER

For: PROCESSES FOR PRODUCING )  
ANASTOMOTIC COMPONENTS )  
HAVING MAGNETIC PROPERTIES )

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Pursuant to the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED mailed July 3, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney
- 2) Power of Attorney By Assignee
- 3) Copy of Notice to File Missing Parts of Application
- 4) Petition for Extension of Time (in duplicate)

Enclosed is a check to cover the following fee(s):

Basic Filing Fee:	\$ 370.00
Total Claims: 40-20 = 20 x 9 =	\$ 180.00
Independent Claims: 4-3 = 1 x 42 =	\$ 42.00
Missing Parts Surcharge for Small Entity	\$ 65.00
<b>TOTAL FEES TO BE CHARGED</b>	<b>\$ 657.00</b>

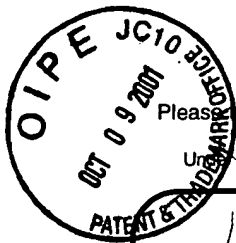
The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account No. 50-1983. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date October 3, 2001

  
Michael J. Lynch, Reg. No. 36,403

HOEKENDIJK & LYNCH, LLP  
P.O. Box 4787  
Burlingame, CA 94011-4787  
(650) 685.9205



Please type a plus sign (+) inside this box → ☐

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number **09/851,400**

Filing Date **May 7, 2001**

First Named Inventor **MICHAEL L. REO**

Group Art Unit **3731**

Examiner Name **UNASSIGNED**

Total Number of Pages in This Submission

Attorney Docket Number **020**

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☒ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Declaration & Power of Attorney  
Return Postcard**

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 50-1983.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

HOEKENDIJK & LYNCH LLP

Michael J. Lynch

Reg. No. 36,403

Signature

*Michael J. Lynch*

Date

October 3, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 3, 2001

Typed or printed name

Loni M. Cummings

Signature

*Loni M. Cummings*

Date

October 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 712

**Complete if Known**

Application Number 09/851,400

Filing Date May 7, 2001

First Named Inventor MICHAEL L. REO

Examiner Name UNASSIGNED

Group Art Unit 3731

Attorney Docket No. 020

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1983

Deposit  
Account  
Name

HOEKENDIJK &amp; LYNCH, LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	370	Utility filing fee	370
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$370)

**2. EXTRA CLAIM FEES**

Total Claims	40	-20**	=	20	X	Fee from below	\$9	=	Fee Paid	180
Independent Claims		-3**	=		X	Fee from below	\$42	=	Fee Paid	42
Multiple Dependent					X	Fee from below		=	Fee Paid	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$222)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$120)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

Michael J. Lynch

Registration No. (Attorney/Agent)

36,403

Telephone

650.685.9205

Signature

*Michael J. Lynch*

Date

October 3, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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